

**yUNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:
<b>In re</b>	:
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	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
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-----	<b>X</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 16, 2019, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated August 16, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
19<sup>th</sup> day of August, 2019

/s/ John Chau

Notary Public, State of New York  
No. 01CH6353383  
Qualified in Queens County  
Commission Expires January 23, 2021

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000148620201 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000103073



CREDIT SUISSE  
ATTN: PAUL GILMORE  
ELEVEN MADISON AVENUE  
NEW YORK, NY 10010

CREDIT SUISSE  
CRAVATH, SWAINE & MOORE LLP  
ATTN: RICHARD LEVIN  
WORLDWIDE PLAZA  
825 EIGHTH AVENUE  
NEW YORK, NY 10019

Please note that your claim # 5355829-78 in the above referenced case and in the amount of \$0.00 allowed at \$61,041.46 has been transferred (unless previously expunged by court order)

UBS AG  
TRANSFEROR: CREDIT SUISSE  
ATTN: HUGO KOLLER  
BAHNHOFSTRASSE 45  
ZURICH 8001  
SWITZERLAND

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 59810 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/16/2019

Vito Genna, Clerk of Court

/s/ Betina Wheelon

\_\_\_\_\_  
Epiq Corporate Restructuring, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 16, 2019.

## **EXHIBIT B**

Claim Name	Address Information
BANCA MEDIOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	TRANSFEROR: BANCA MEDIOLANUM S.P.A., ATTN: VALERIA VIGANO, PIAZZA SALIMBENI, 3 - VIA ROSELLINI 16, 20124 MILANO ITALY
CREDIT SUISSE	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE	BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
LGT BANK (SINGAPORE) LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE, 3 TEMASEK AVENUE #30-01, CENTENNIAL TOWER, 039190 SINGAPORE SINGAPORE
MERRILL LYNCH INTERNATIONAL	ATTN: CHRISTOPHER J. HAAS, BANK OF AMERICA TOWER, ONE BRYANT PARK, NEW YORK, NY 10036
MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK & NED S. SCHODEK, SHEARMAN & STERLING LLP, 599 LEXINGTON AVENUE, NEW YORK, NY 10022
MERRILL LYNCH INTERNATIONAL	ATTN: CHRISTOPHER J. HAAS, BANK OF AMERICA TOWER, ONE BRYANT PARK, NEW YORK, NY 10036
MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK & NED S. SCHODEK, SHEARMAN & STERLING LLP, 599 LEXINGTON AVENUE, NEW YORK, NY 10022
MERRILL LYNCH INTERNATIONAL	CHRISTOPHER J. HAAS, BANK OF AMERICA TOWER, ONE BRYANT PARK, NEW YORK, NY 10036
MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK, NED S. SCHODEK, SHEARMAN & STERLING LLP, 599 LEXINGTON AVENUE, NEW YORK, NY 10022
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MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK, NED S. SCHODEK, SHEARMAN & STERLING LLP, 599 LEXINGTON AVENUE, NEW YORK, NY 10022
TACONIC MASTER FUND 1.5 LP	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, TACONIC CAPITAL ADVISORS-R. ROTHSTEIN, 450 PARK AVENUE, 8TH FLOOR, NEW YORK, NY 10022
TACONIC MASTER FUND 1.5 LP	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, TACONIC CAPITAL ADVISORS-R. ROTHSTEIN, 450 PARK AVENUE, 8TH FLOOR, NEW YORK, NY 10022
TACONIC OPPORTUNITY MASTER FUND L.P.	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, TACONIC CAPITAL ADVISORS- R. ROTHSTEIN, 450 PARK AVENUE, 8TH FLOOR, NEW YORK, NY 10022
TACONIC OPPORTUNITY MASTER FUND L.P.	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, TACONIC CAPITAL ADVISORS- R. ROTHSTEIN, 450 PARK AVENUE, 8TH FLOOR, NEW YORK, NY 10022
UBS AG	TRANSFEROR: CREDIT SUISSE, ATTN: HUGO KOLLER, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND

<b>Total Creditor Count 19</b>
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